



COHEN PONTANI LIEBERMAN & PAVANE

551 Fifth Avenue, New York, NY 10176 phone 212.687.2770 fax 212.972.5487 www.cplplaw.com

To: Mr. Greg Thompson From: Evelyn Martinez *Yolanda Usher*
Fax: 571-273-2045 Pages: 24 (total number of pages)
Re: U.S. Serial No. 10/019,426 Date: Thursday, May 06, 2004 *Re-Sent*
Device for Cooling an Electrical Appliance
Our File No. 5367-35PUS Monday, June 14, 2004

 Confirmation will follow No confirmation to follow

Dear Mr. Thompson

Pursuant to your request, transmitted herewith are the following:

- 1) Specification, Claims 1 to 6 & Abstract (11 pages) ✓
- 2) Five Sheets of drawings (Figs. 1-6) ✓
- 3) Preliminary Amendment (5 pp.) ✓
- 4) Status letter dated 10/3/2003 ✓
- 5) USPTO Reply dated 1/21/2004 ✓
- 6) Power of attorney & Letter dated 7/28/03 (3 pages)
- 7) Change of correspondence address dated 7/28/03

Confidentiality Statement

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5367-3SPUS



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 20231
www.uspto.gov

Date: 1/21/04

THOMAS LANGER ESQ.
COHEN PONTANI LIEBERMAN & PAVANE
551 FIFTH AVENUE
SUITE 1210
NEW YORK NY 10176

To: Applicant of Serial Number 10019426 (Art Unit 2835)

We project that this application will be first examined in 0 to 3 months from today.

COHEN, PONTANI, LIEBERMAN & PAVANE

JAN 21 2004

U.S. PATENT AND TRADEMARK OFFICE

Customer Service Office in Technology Center: 2800
Phone Number: 703-306-3329
FAX Number: 703-306-5515

Applicant/Attorney Contact Information:
Telephone: (212) 68-7277
Fax: No Fax #

Attorney Docket # 5367-35PUS

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Robert GERSTNER et al.

Serial No.: 10/019,426

Filed: December 31, 2001

For: Device for Cooling an Electrical Appliance

Examiner:

Group Art:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 3, 2003
(Date of Deposit)

Thomas Langer

Name of Applicant, Assignee or Registered Representative



Signature

October 3, 2003
(Date of Signature)

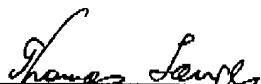
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATUS LETTER

SIR:

In reviewing our file in the above-identified patent application, we note that we have received no action since the filing of this application on December 31, 2001. We would therefore appreciate a report as to the status of this case at your earliest possible convenience.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By 
Thomas Langer
Reg. No. 27,264
551 Fifth Avenue
New York, New York 10176
(212) 687-2770

October 3, 2003

FORM PTO-1390 (Modified) (REV 11-2000) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 216269US2PCT U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
INTERNATIONAL APPLICATION NO. PCT/DE00/02146	INTERNATIONAL FILING DATE 30 JUNE 2000	PRIORITY DATE CLAIMED 30 JUNE 1999
TITLE OF INVENTION DEVICE FOR COOLING AN ELECTRICAL APPLIANCE		
APPLICANT(S) FOR DO/EO/US Robert GERSTNER, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).		
Items 13 to 20 below concern document(s) or information included:		
13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input type="checkbox"/> Certificate of Mailing by Express Mail 23. <input checked="" type="checkbox"/> Other items or information:		
Request for Consideration of Documents in International Search Report Notice of Priority / Amended Sheet (page 9) / Drawings (5 sheets)		

U.S. APPLICATION NO (IF KNOWN, SEE 37 CFR	INTERNATIONAL APPLICATION NO. PCT/DE00/02146	ATTORNEY'S DOCKET NUMBER 216769US2PCT
24. The following fees are submitted:		CALCULATIONS PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <ul style="list-style-type: none"> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 		
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$890.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).		<input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 \$130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	7 - 20 =	0
Independent claims	1 - 3 =	0
Multiple Dependent Claims (check if applicable)		<input type="checkbox"/> \$0.00
TOTAL OF ABOVE CALCULATIONS =		\$1,020.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.		\$0.00
SUBTOTAL =		\$1,020.00
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).		<input type="checkbox"/> 20 <input type="checkbox"/> 30 + \$0.00
TOTAL NATIONAL FEE =		\$1,020.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		<input type="checkbox"/> \$0.00
TOTAL FEES ENCLOSED =		\$1,020.00
		Amount to be: refunded \$
		charged \$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$1,020.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
SEND ALL CORRESPONDENCE TO:		
 22850 (703) 413-3000		SIGNATURE Marvin J. Spivak NAME 24,913 REGISTRATION NUMBER DATE

Docket No. 216269US2PCT

IN RE APPLICATION OF: Robert GERSTNER, et al.

SERIAL NO: NEW U.S. PCT APPLICATION BASED ON PCT/DE00/02146

FILED: HEREWITH

FOR: DEVICE FOR COOLING AN ELECTRICAL APPLIANCE

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

No additional fee is required

Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

Additional documents filed herewith: English Translation of Specification/Notice of Priority/Request for Consideration Preliminary Amendment/PCT Transmittal Letter/International Search Report Drawings (5 sheets)/Amended Sheet (page 9)/Check for \$1,020.00

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	7	MINUS	20	0	× \$18 =	\$0.00
INDEPENDENT	1	MINUS	3	0	× \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
					TOTAL OF ABOVE CALCULATIONS	\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
					TOTAL	\$0.00

 A check in the amount of \$0.00 is attached.

Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

22850

Customer Number 22850
Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/01)Marvin J. Spivak
Registration No. 24,913Surinder Sachar
Registration No. 34,423

DOCKET NO.: 216269 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Robert GERSTNER, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/DE00/02146

INTERNATIONAL FILING DATE: June 30, 2000

FOR: DEVICE FOR COOLING AN ELECTRICAL APPLIANCE

REQUEST FOR PRIORITY UNDER 35 U.S.C. 119
AND THE INTERNATIONAL CONVENTION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

<u>COUNTRY</u>	<u>APPLICATION NO</u>	<u>DAY/MONTH/YEAR</u>
Germany	199 30 166.2	30 June 1999

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT/DE00/02146.

Respectfully submitted,
OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



22850

(703) 413-3000
Fax No. (703) 413-2220
(OSMMN 1/97)

Marvin J. Spivak
Attorney of Record
Registration No. 24,913
Surinder Sachar
Registration No. 34,423